

Substitute for form 1449A/PTO

RELATED CASE STATEMENT

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Sheet

1

of

1

Complete if Known

Application Number	10/591,485
Filing Date	September 1, 2006
First Named Inventor	Jacob Waugh et al.
Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	13720-105074US1

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No.	Document Number	Filing Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		Number - Kind Code* (if known)			
	1	20070077259	03-03-2006	Dake, et al.	
	2	20030229034	07-20-2001	Waugh, et al.	
	3	20050239705	03-03-2005	Dake et al.	
	4	20050196414	03-03-2005	Dake et al.	
	6	11/616,602	08-17-2007	Dake, et al.	
	7	10/591,486	06-16-2007	Dake, et al.	
	8	10/591,732	09-01-2006	Dake, et al.	
	9	10/793,138	03-03-2004	Waugh et al.	
	10	11/955,076	12-12-2007	Waugh, et al.	
	11	11/954,885	12-12-2007	Waugh et al.	

Examiner
Signature

/Samuel Liu/

Date
Considered

10/14/2010

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Substitute for Form 1449/A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known		
				Application No.	10/591,485	
				Filing Date	09/01/2006	
				First Named Inventor	Waugh et al.	
				Group Art. Unit	Not Yet Assigned	
Examiner Name	Not Yet Assigned					
Attorney Docket Number	13720-105074US1					
Sheet	1	of	10			
U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number ²	Kind Code ³ (if known)			
	1	4,434,228		Swann	02/28/1984	
	2	4,816,568		Hamilton, Jr. et al.	03/28/1989	
	3	5,252,713		Morgan, Jr. et al.	10/12/1993	
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	8	5,709,861		Santiago, et al.	01/20/1998	
	9	5,744,166		Illum	04/28/1998	
	10	5,747,641		Frankel, et al.	05/05/1998	
	11	5,756,468		Johnson, et al.	05/26/1998	
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	21	6,413,941		Garnett, et al.	07/02/2002	
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Examiner Signature	/Samuel Liu/				Date Considered	10/14/2010

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				Application No.	10591,485	
				Filing Date	09/01/2006	
				First Named Inventor	Waugh et al.	
				Group Art. Unit	Not Yet Assigned	
Examiner Name	Not Yet Assigned					
Attorney Docket Number	13720-105074US1					
Sheet	2	of	10			
U.S. PATENT DOCUMENTS						
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		Number ²	Kind Code ³ (if known)			
	26	6,610,820		Bonny	08/26/2003	
	27	6,645,501		Dowdy	11/11/2003	
	28	6,669,951		Rothbard, et al.	12/30/2003	
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Examiner Signature	/Samuel Liu/				Date Considered	10/14/2010

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				Filing Date	09/01/2006	
				First Named Inventor	Waugh et al.	
				Group Art. Unit	Not Yet Assigned	
				Examiner Name	Not Yet Assigned	
Sheet	3	of	10	Attorney Docket Number		13720-105974US1

U.S. PATENT DOCUMENTS

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		Number ²	Kind Code ³ (if known)			
	51	20030104622		Robbins et al.	06/05/2003	
	52	20030113349		Coleman	06/19/2003	
	53	20030118598		Hunt	06/26/2003	
	54	20030138437		Hunt	07/24/2003	
	55	20030157134		Aoki, et al.	08/21/2003	
	56	20030162719		Rothbard et al.	08/28/2003	
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	69	20040161405		Rothbard et al.	08/19/2004	
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	71	20040192754		Shapira, et al.	09/30/2004	
	72	20040220386		Steward, et al.	11/04/2004	
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				Application No.	10/591,485	
				Filing Date	09/01/2006	
				First Named Inventor	Waugh et al.	
				Group Art. Unit	Not Yet Assigned	
				Examiner Name	Not Yet Assigned	
Sheet	4	of	10	Attorney Docket Number	13720-105074US1	
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		Number ²	Kind Code ³ (if known)			
	76	2005/0112146		Graham	05/26/2005	
	77	2005/0175636		Donovan	08/11/2005	
	78	20050232966		Hughes	10/20/2005	
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				Examiner Name		Not Yet Assigned		
Sheet	5	of	10	Attorney Docket Number		13720-105074US1		
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Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ³	Kind Code ⁵ (if known)				
	83	EP	0737074		Allergan	10/16/2006		
	84	EP	1005867		Allergan	06/07/2000		
	85	EP	1180524		Lipotec, SA	02/20/2002		
	86	EP	1185291		Biocicon Ges Fuer Biotechnolog	03/13/2002		
	87	EP	1421948		Allergan	05/26/2004		
	88	EP	1477183		Allergan	11/17/2004		
	89	WO	1992/07871		Alfalex Biopharma	05/14/1992		
	90	WO	1994/04686		Biogen Inc.	03/03/1994		
	91	WO	1997/40854		Antivirals, Inc.	11/06/1997		
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	94	WO	200113957		Cellgate	03/01/2001		
	95	WO	200162297		Cellgate	08/30/2001		

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		Office ³	Number ³	Kind Code ⁵ (if known)				
	96	WO	2002/07773		Essentia Biosystems	01/31/2002		
	97	WO	2003/49772		Cellgate	06/19/2003		
	98	WO	2002/065986		Cellgate	08/29/2002		
	99	WO	2002/067917		Cellgate	09/06/2002		
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	101	WO	2003/072049		Essentia Biosystems	09/04/2003		
	102	WO	2006/005910		Ipsen Ltd.	01/19/2006		

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	103	Author: Title: 1992 Sigma Catalog Publ: Vol/Iss: Pages: 1745 Date: 1992			
	104	Author: Title: Mammalian Expression Publ: 1998 Promega Catalog Vol/Iss: Pages: 262-265 Date: 1998			
/S.L./	105	Author: Aids Title: Use of HIV-1, to target and/or active antigen-presenting cells, and/or to deliver cargo molecules Publ: http://pharmalicensing.com/public/outlicensing/view/3766 Vol/Iss: Pages: Date:			
	106	Author: Ambache, N. Title: A further survey of the action of clostridium botulinum toxins upon different types of autonomic nerve fibre Publ: J. Physiol. Vol/Iss: 113 Pages: 1-17 Date: 1951			
	107	Author: Bermann I., et al. Title: Selective degeneration of sudomotor fibers in Ross Syndrome and successful treatment of compensatory hyperhidrosis with botulinum toxins Publ: Muscle & Nerve Vol/Iss: 21(12) Pages: 1790-1793 Date: 1998			
	108	Author: Blanes-Mira et al. Title: Identification of SNARE complex Modulators that Inhibit Exocytosis from an Alpha-Helix-Constrained Combinatorial Library Publ: Biochem. J. Vol/Iss: 375(1) Pages: 159-166 Date: October 1, 2003			
	109	Author: Blanes-Mira et al. Title: Small peptides alter the N-Terminus domain of SNAP25 Inhibit SNARE complex assembly and regulated exocytosis Publ: J. of Neurochem. Vol/Iss: 88(1) Pages: 124-135 Date: January 2004			

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	110	Author: Blanes-Mira et al. Title: A Synthetic Hexapeptide *Argireline) with Antiwrinkle Activity Publ: Int'l J. of Cosmetic Science Vol/Iss: 24(5) Pages: 303-310 Date: 2002				
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	112	Author: Cristiano et al. Title: Hepatic gene therapy: Efficient gene delivery and expression in primary hepatocytes utilizing a conjugated adenovirus-DNA complex Publ: Proc. Nat'l Acad. Sci. Vol/Iss: Pages: 11548-11552 Date: December 1993				
	113	Author: Crosland et al. Title: Detection of Sparse Botulinum Toxin A Binding Sites Using Fluorescent Latex Microspheres Publ: J. of Histotechnology Vol/Iss: 22(2) Pages: 113-115 Date: June 1999				
	114	Author: Fisher et al. Title: Matrix Sialoprotein of Developing Bone Publ: J. Biol. Chem. Vol/Iss: 258 Pages: 12723-12727 Date: October 1983				
	115	Author: GenBank Accession No. Title: M77788 Publ: Vol/Iss: Pages: 2005 Date: June 21, 2005				
	116	Author: Glogau, R. Title: Botulinum Toxin A neurotoxin for axillary hyperhidrosis-no sweat Botox Publ: Dermatol. Surg. Vol/Iss: 24(8) Pages: 817-819 Date: 1998				

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	118	Author: Heckman, M. et al. Title: Botulinum toxin for axillary hyperhidrosis (excessive sweating) Publ: N. Engl. J. Med. Vol/Iss: 344(7) Pages: Date: 2001			
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	120	Author: Nauman, M. et al. Title: Focal Hyperhidrosis - Effective treatment with Intracutaneous botulinum Toxin Publ: Arch Dermatol. Vol/Iss: 134 Pages: 301-304 Date: 1998			
	121	Author: Navar H. Title: The treatment of focal hyperhidrosis with botulinum toxin Publ: Eur. J. Neurol. Vol/Iss: 4(2) Pages: S75-9 Date: 1997			
	122	Author: Odderson, J. Title: Axillary hyperhidrosis: Treatment with Botulinum Toxin A Publ: Arch. Phys. Med. Rehabil. Vol/Iss: 79(3) Pages: 350-2 Date: 1998			
	123	Author: Printout of Website Title: Botulinum cream Publ: http://www.chiuchina.com/ebcbs/4/pdf/82305.html Vol/Iss: Pages: Date: 2005			

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Sheet	10	of	10	Attorney Docket Number	13720-105074(US)
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ⁶
	124	Author: Puls et al. Title: Gene transfer and expression of a non-viral polycation-based vector in CD4 cells Pub: <u>Gene Therapy</u> Vol/Iss: Pages: 1774-1778 Date: 10/01/1999			
	125	Author: Retrieved from internet Title: http://www.genlantis.com/catalog/product_line.cfm?product_family_key=13&product_line_key=54 Pub: Vol/Iss: Pages: Date: 09/02/2005			
	126	Author: Schantz et al. Title: Properties and use of Botulinum Toxin and Other Microbial Neurotoxins in Medicine Pub: <u>Microbiological Reviews</u> Vol/Iss: 56(1) Pages: 80-89 Date: March 1992			
	127	Author: Schwartz et al. Title: Peptide-Mediated Cellular Delivery Pub: <u>Curr. Opin. Mol. Ther.</u> Vol/Iss: 2(2) Pages: 162-167 Date: 2000			
	128	Author: Shalaby Title: Polymers for Augmenting Botulinum Vaccine Efficiency Pub: Vol/Iss: Pages: Date: 1998			
	129	Author: Urbanova et al. Title: Noncovalent interaction of peptides with porphyrins in aqueous solution: conformational study using vibrational CD spectroscopy Pub: <u>Biomolecules (peptide science)</u> Vol/Iss: 60 Pages: 307-316 Date: 2001			
	130	Author: Wu et al. Title: Pub: <u>J. Biol. Chem.</u> Vol/Iss: 10 Pages: 4429-4432 Date: 1987			

Examiner Signature	/Samuel Liu/	Date Considered	10/14/2010
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.L./